

CIRCULAR

NSE

Non Neat Systems - Testing of software used in or related to Trading and Risk Management
List of Securities moving to Stage I of Graded Surveillance Measure (GSM)
List of Securities moving to Stage III of Graded Surveillance Measure (GSM)
Applicability of Short-Term Additional Surveillance Measure (ST-ASM)
SEBI Order in the matter of Profit Mount Advisory Service
Restoration of trading rights
List of Securities moving to Stage I of Graded Surveillance Measure (GSM)
SEBI order in the matter of Divi's Laboratories Ltd.
List of Securities moving to Stage II of Graded Surveillance Measure (GSM)
Graded Surveillance Measure (GSM) - Updated list of securities

BSE

Download of Penalty Report for modification of Client Codes of non-institutional trades (All Segments) Charges for trading with unregistered UCC/UCC without PAN for the month of November, 2020 Order in the matter of KLG Capital Services Limited Suspension of trading in securities of companies for non-compliances with provisions of certain Regulations of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 Graded Surveillance Measure (GSM) - List of securities moving into their respective GSM Stages Applicability of Short Term Additional Surveillance Measure (ST-ASM) Applicability of Additional Surveillance Measure Suspension of Trading in Commercial Papers. Launch of NFO with SIP facility of NIPPON Mutual Fund on BSE StAR MF Platform Graded Surveillance Measure (GSM) - List of securities moving into their respective GSM Stages Order in the matter of Profit Mount Advisory Service e-Voting Facility Provided by Listed Entities. Part Redemption of Debentures of Platinum Infradevelopment Pvt. Ltd. Non-Competitive Bidding for Auction of State Government Securities (SDL) on December 15, 2020 Suspension of Trading in Debentures of Inditrade Microfinance Limited. New ISIN Number of Apl Apollo Tubes Ltd. (Scrip Code 533758) Final Order in the matter of Divi's Laboratories Ltd Action against the Defaulting Companies for non-payment of Annual Listing Fees (ALF) – Equity Segment Applicability of Additional Surveillance Measure Subject : Additional Payment Mechanism (i.e. ASBA, etc.) for Payment of Balance Money in Calls for partly paid specified securities issued by the listed entity Graded Surveillance Measure (GSM) – Updated list of companies

MCX

<u>SEBI Order in the matter 3M Team Research Private Limited (formerly known as 3M Team Security</u> <u>Services Private Limited)</u>

SEBI Order in the matter of Hybrid Research Advisory Services

List of securities under Stage I of Graded Surveillance Measure (GSM)

List of securities under Stage II of Graded Surveillance Measure (GSM)

SEBI Order in the matter of Right Target Advisory Service

SEBI Order in the matter of KLG Capital Services Limited (KLG)

Applicability of Additional Surveillance Measure (ASM)

SEBI Order in respect of Mr. Srinivas Maddineni in the matter of Divi's Laboratories Ltd.

ICEX

LAUNCH OF NFO - NIPPON INDIA PASSIVE FLEXICAP FOF ON ICEXMF PLATFORM.

COMMENCEMENT OF FUTURES TRADING IN DIAMOND CONTRACTS

AVAILABILITY OF MIRAE ASSET BANKING AND FINANCIAL SERVICES FUND FOR ONGOING TRANSACTION ON ICEXMF PLATFORM.

NCDEX

Declaration of Defaulter - Modex Commodity Trades Private Limited and KSBL Commodity Brokers Private Limited Risk Management Fee – Gur Contract (Feed Grade) (GUR) Mock Trading: Product Upgrade - Updated Application Programing Interface (API)

NSDL

2020-0159- Annexure I -Signed Final Order Way2gains Capital Mirror and Astro Capital Solutions 2020-0159-Annexure II-Ad interim ex parte order cum SCN in the matter of Kalyani and Kalyani D... 2020-0159-Annexure-III-Interim Order in respect of Chetan Yashwant Shukla 2020-0159-Annexure-IV-Order in the matter of Dhyana Finstock Limited

NSE IFSC

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INDIA INX

Membership of stock exchanges and clearing corporations in IFSC by foreign entities Membership of stock exchanges and clearing corporations in IFSC by foreign entities

SEBI

e-Voting Facility Provided by Listed Entities

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